

UNITED STATES
PATENT AND
TRADEMARK OFFICE

DECEMBER 03, 2001

PTAS

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.govLYON & LYON LLP
MICHAEL J. WISE
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071-2066

101862187A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/24/2001

REEL/FRAME: 012198/0968
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

UNIVERSITY OF MEDICINE

DOC DATE: 08/23/2001

ASSIGNOR:

DENTISTRY OF NEW JERSEY

DOC DATE: 08/07/2001

ASSIGNEE:

UNIVERSITY OF MEDICINE
P.O. BOX 2688
NEW BRUNSWICK, NEW JERSEY
08903-
2688

ASSIGNEE:

DENTISTRY OF NEW JERSEY
335 GEORGE STREET, SUITE 3200
NEW BRUNSWICK, NEW JERSEY 08901SERIAL NUMBER: 09747429
PATENT NUMBER:FILING DATE: 12/23/2000
ISSUE DATE:

261169

RECEIVED

DEC 11 2001

U.S. PROSECUTION

08/19/04 08:56 FAX 856 810 1454

LICATA & TYRRELL

→ PTOBF

011

08/01/04 18:22 FAX 856 810 1454

LICATA & TYRRELL

010

012198/0968 PAGE 2

SERIAL NUMBER: 60171970
PATENT NUMBER:

204/170
FILING DATE: 12/23/1999
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595
1-31-92

Docket No. 266/169US

To the Honorable Commissioner of Patents & Trademarks

1. Name of conveying party(ies):
University of Medicine & Dentistry of New Jersey
Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 8/23/01 & 8/7/01

10-01-2001



101862187

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Please record the attached original documents or copy thereof.

2. Name and address of receiving party:
Name: University of Medicine & Dentistry of New Jersey

Internal Address: P.O. Box 2688

City: New Brunswick State: NJ Zip: 08903-2688

Street Address: 335 George Street, Suite 3200

City: New Brunswick State: NJ Zip: 08901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 08/747,429

B. Patent No(s): _____

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence
concerning document should be mailed:
Name: Michael J. Wise

Internal Address: LYON & LYON LLP
833 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2068

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 3.41): \$ 80.00
☒ Enclosed

☒ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Wise, Reg. No. 34,047

Date: 9/12/01

Total number of pages including cover sheet: 5

OMB No. 0851-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Director — U.S. Patent and Trademark Office

Box Assignments

Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0851-0011), Washington, D.C. 20503

08/19/04 08:56 FAX 856 810 1454

LICATA & TYRRELL

→ PTOBF

☒ 013

08/01/04 18:22 FAX 856 810 1454

LICATA & TYRRELL

☒ 012



Continuation of Recordation Form Cover Sheet
For
University of Medicine & Dentistry of New Jersey
Assignment

Patent
Attorney Docket No. 266/169US

Additional number(s) or patent number(s) for Section 4A:

Patent Application No(s)

60/171,970

Attorney Docket No(s)

266/170

page 2 of 2

LA-209215.1

08/19/04 08:58 FAX 856 810 1454

LICATA & TYRRELL

→ PTOBF

014

08/01/04 18:23 FAX 856 810 1454

LICATA & TYRRELL

013

PATENT

Practitioner's Docket No. 13257-00030

For: U.S. Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION



in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Pranela Ramcshwar
317 Lexington Avenue
Maplewood, NJ 07040

US
Nationality: US

Pedro Gascon
Azibau 161, pral 1
Barcelona, 08036

Spain
Nationality: US

hereby sell, assign and transfer to

ASSIGNEE:

University of Medicine & Dentistry
of New Jersey

Liberty Plaza
335 George Street
New Brunswick, NJ 08903
US

Nationality: US

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

A Human Preprotachykinin Gene Promoter

617865.1 7/2001

and which is found in (37 C.F.R. section 3.21) U.S. patent application Serial No.: 09/747,429, filed on December 23, 2000, and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 7 day of

August, 2001.

Date:

7/7/01

Pranela Rameshwar
Signature of Pranela Rameshwar

Date:

Signature of Pedro Gascon

Date: August 23, 2001

Pedro Gascon
Signed by: Pedro Gascon

OPTIONAL FORM 175
(REVISED 12-89)
MAY 1975
U.S. DEPT. OF STATE
175-101

Certificate of Acknowledgment of Execution of an Instrument

The Kingdom of Spain
(Country)
Province of Barcelona
(County and/or other political division)
City of Barcelona
(County and/or other political division)
Consulate General of the
United States of America
(Name of foreign service office)

SS:

I, Marc J. Mezner Consul

of the United States of America at Barcelona, Spain

duly commissioned and qualified, do hereby certify that on this 23rd

day of August, 2001, before me personally appeared Pedro GASCON
(DATE)

to me personally known, and known to me to be the individual—described in, whose
name he subscribed to, and who executed the annexed instrument, and being
informed by me of the contents of said instrument he duly acknowledged to me
that he executed the same freely and voluntarily for the uses and purposes therein
mentioned.

[SEAL]

In witness whereof I have hereunto set my hand and

official seal the day and year last above written.

Marc J. Mezner

Consul of the United States of America

NOTE.—Wherever practicable all signatures to a document should be included in one certificate. *U.S.GPO:1990-0-311-151/2270

08/19/04 08:57 FAX 858 810 1454

LICATA & TYRRELL

→ PTOBF

017

08/01/04 16:23 FAX 858 810 1454

LICATA & TYRRELL

016



Patent
Attorney Docket No. 266/169US

STATEMENT OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

9/14/01
Date of Deposit

Miyabi Grace
Name of Person Mailing Paper
Miyabi Grace
Signature of Person Mailing Paper

LA-209315.1

08/19/04 08:57 FAX 856 810 1454

LICATA & TYRRELL

→ PTOBF

018

06/01/04 16:23 FAX 856 810 1454

LICATA & TYRRELL

017

Practitioner's Docket No. 13257-00030

PATENT

For: U.S. Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION



consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Praneta Rameshwar
317 Lexington Avenue
Maplewood, NJ 07040

US
Nationality: US

Pedro Gascon
Aribau 161, pral 1
Barcelona, 08036

Spain
Nationality: US

hereby sell, assign and transfer to

ASSIGNEE:

University of Medicine & Dentistry
of New Jersey

Liberty Plaza
335 George Street
New Brunswick, NJ 08903
US

Nationality: US

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

A Human Preprotachykinin Gene Promoter

617860.1 7/25/01

and which is found in (37 C.F.R. section 3.21) U.S. patent application Serial No.: 09/747,429, filed on December 23, 2000, and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 2 day of

August, 2001.

Date:

8/7/01

Pranla Rameshwar
Signature of Pranla Rameshwar

Date:

Signature of Pedro Gascon

Date: August 23, 2001

Pedro Gascon
Signed by: Pedro Gascon

OPTIONAL FORM 17A
(FORMERLY FS-28)
MARCH 1975
DEPT. OF STATE
50175-101

Certificate of Acknowledgment of Execution of an Instrument

The Kingdom of Spain
(Country)

Province of Barcelona
(Country and/or other political division)

City of Barcelona
(Country and/or other political division)

Consulate General of the
United States of America
(Name of foreign service office)

SS:

I, Marc J. Meznar Consul

of the United States of America at Barcelona, Spain

duly commissioned and qualified, do hereby certify that on this 23rd

day of August, 2001, before me personally appeared Pedro GASCON
(DATE)

to me personally known, and known to me to be the individual—described in, whose
name he subscribed to, and who executed the annexed instrument, and being
informed by me of the contents of said instrument, he duly acknowledged to me
that he executed the same freely and voluntarily for the uses and purposes therein
mentioned.

[SEAL]

In witness whereof I have hereunto set my hand and
official seal the day and year last above written.

Marc J. Meznar

Consul of the United States of America

NOTE:—Wherever practicable all signatures to a document should be included in one certificate. • U.S.G.P.C. 1200-5-311-163/5275